

30<sup>th</sup> May, 2022

To,  
General Manager  
Corporate Relations Dept.  
BSE Limited  
1<sup>st</sup> Floor, P.J. Towers,  
Dalal Street, Mumbai -400 001

**SUB: Submission of Secretarial Compliance Report for the FY 2021-22 ended on 31<sup>st</sup> March, 2022**

**REF: Regulation 24(A) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

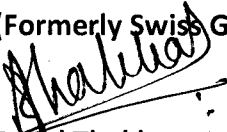
Dear Sir/ Ma'am,

With reference to the subject, kindly find enclosed copy of Annual Secretarial Compliance Report for the FY 2021-22 ended on 31st March, 2022, dated 23<sup>rd</sup> May, 2022, issued by D.G.Bhimani & Associates, Secretarial Auditors of the Company.

Kindly take the above on your records.

Thanking you,

Yours faithfully,  
For HLE Glascoat Limited  
(Formerly Swiss Glascoat Equipments Limited)

  
Achnal Thakkar

Company Secretary and Compliance Officer



Encl: As Above



**SECRETARIAL COMPLIANCE REPORT OF  
HLE GLASCOAT LIMITED  
FOR THE YEAR ENDED 31<sup>ST</sup> MARCH, 2022**

I have examined:

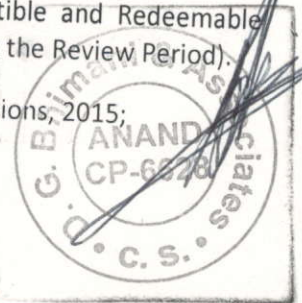
- (a) all the documents and records made available to us and explanation provided by HLE Glascoat Limited (formerly known as Swiss Glascoat Equipments Limited) having CIN L26100GJ1991PLC016173 and having registered office at H-106 GIDC Estate, Vithal Udyognagar - 388121 ("the listed entity"),
- (b) the filings/ submissions made by the listed entity to the stock exchanges,
- (c) website of the listed entity,
- (d) any other document/ filing, as may be relevant, which has been relied upon to make this certification,

for the year ended 31st March, 2022 ("Review Period") in respect of compliance with the provisions of :

- (a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- (b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- (d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018;-(Not Applicable to the Company during the Review Period).
- (e) Securities and Exchange Board of India (Share Based Employee Benefits and Sweat Equity) Regulations, 2021;-(Not Applicable to the Company during the Review Period).
- (f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008;-(Not Applicable to the Company during the Review Period).
- (g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013;-(Not Applicable to the Company during the Review Period).
- (h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;



(i) Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018;

(j) The Securities and Exchange Board of India ( Registrars to an Issue and Share Transfer Agents) Regulations, 1993 regarding the Companies Act and dealing with client – Company has appointed SEBI registered Category-I Registrar and Share Transfer Agent.

and circulars/ guidelines issued there under;

and based on the above examination, I hereby report that, during the Review Period:

- (a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder,
- (b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from my examination of those records.
- (c) There were no actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued there under in so far as it appears from my examination of those records.
- (d) The reporting of actions by the listed entity to comply with the observations made in previous reports does not arise during the Review Period.
- (e) The reporting of clause 6(A) and 6(B) of the circular No. CIR/CFD/CMD1/114/2019 dated October 18, 2019 issued by the Securities and Exchange Board of India on “Resignation of statutory auditors from listed entities and their material subsidiaries” is not applicable during the Review Period.

Place : Anand  
Date : 23rd May, 2022  
UDIN : F008064D000368666



For D. G. Bhimani & Associates

Dineshkumar G. Bhimani  
Company Secretary  
CP No.: 6628