

June 16, 2021

**The Executive Director,
The Manager Corporate Services
The BSE Ltd
Floor 1, Rotunda Building,
Dalal Street, Bombay -400 001**

SUB: Submission of Secretarial Compliance Report for the year ended on March 31, 2021 under Regulation 24(A) of Securities and Exchange Board of India (Listing Obligation and Disclosure Requirements) Regulations, 2015

REF: SEBI Circular No. CIR/CFD/CMD1/27/2019 dated 08.02.2019

Dear Sir/ Ma'am,

With regards to the captioned subject and reference, please find enclosed herewith copy of Secretarial Compliance Report dated 12th June, 2021 for the financial year 2020-21.

Thanks & Regards,
**For HLE Glascoat Limited
(Formerly Swiss Glascoat Equipments Limited)**

S.S. Dalwadi

**Mr. Sachin Dalwadi
Assistant Company Secretary and Compliance Officer**

Encl: As Above



**SECRETARIAL COMPLIANCE REPORT OF
HLE GLASCOAT LIMITED
FOR THE YEAR ENDED 31ST MARCH, 2021**

I have examined:

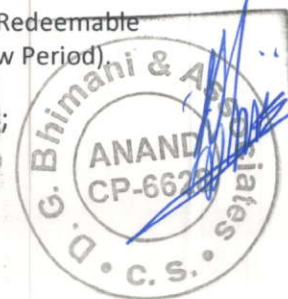
- (a) all the documents and records made available to us and explanation provided by HLE Glascoat Limited (formerly known as Swiss Glascoat Equipments Limited) having CIN L26100GJ1991PLC016173 and having registered office at H-106 GIDC Estate, Vithal Udyognagar - 388121 ("the listed entity"),
- (b) the filings/ submissions made by the listed entity to the stock exchanges,
- (c) website of the listed entity,
- (d) any other document/ filing, as may be relevant, which has been relied upon to make this certification,

for the year ended 31st March, 2021 ("Review Period") in respect of compliance with the provisions of :

- (a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- (b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- (d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018;-(Not Applicable to the Company during the Review Period).
- (e) Securities and Exchange Board of India (Share based Employee Benefits) Regulations, 2014;-(Not Applicable to the Company during the Review Period).
- (f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008;-(Not Applicable to the Company during the Review Period).
- (g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013;-(Not Applicable to the Company during the Review Period).
- (h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;



D.G. Bhimani & Associates



Company Secretaries

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- (i) Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018;
- (j) The Securities and Exchange Board of India (Registrars to an Issue and Share Transfer Agents) Regulations, 1993 regarding the Companies Act and dealing with client – Company has appointed SEBI registered Category-I Registrar and Share Transfer Agent.

and circulars/ guidelines issued there under;

and based on the above examination, I hereby report that, during the Review Period:

- (a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified below:-

Sr. No.	Compliance Requirement (Regulations/ circulars / guidelines including specific clause)	Deviations	Observations/ Remarks of the Practicing Company Secretary
----- NIL -----			

- (b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from my examination of those records.

- (c) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder:

Sr. No.	Action taken by	Details of Violation	Details of Actions taken	Observations/ Remarks of the Practicing Company Secretary
----- NIL -----				

- (d) The listed entity has taken the following actions to comply with the observations made in previous reports:

Sr. No.	Observations of the Practicing Company Secretary in the Previous Report	Observation made in the Secretarial Compliance Report for the year ended	Actions taken by the Listed Entity	Comments of the Practicing Company Secretary on the action taken by the listed entity
----- NIL -----				

I further report that in view of the situation emerging out of the outbreak of COVID-19 Pandemic, I could not examine physical documents, records & other papers etc. of the Company for the year ended March 31, 2021 and the documents/information required were provided through electronic Mode.

Place : Anand

Date : 12th June, 2021

UDIN : F008064C000450968



For D. G. Bhimani & Associates

Dinesh Kumar G. Bhimani
Company Secretary
CP No.: 6628